

Dear Committee Member,

TC 68's Communications Group (SG 4) would like to alert you to:

1. Recent leadership changes
2. Leadership opportunities
3. Call for participants
4. Featured topic – “Thank You and Best Wishes to Stefan Marinkovic”
5. Recent standards activity
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1. RECENT LEADERSHIP CHANGES

- At the beginning of October 2022, Stefan Marinkovic moved to a new position in ISO Central Secretariat. With this change, Kirsi Silander-van Hunan will serve temporarily as Technical Programme Manager (TPM) for ISO TC 68. To ensure continuity, Stefan will attend the upcoming annual meeting of TC 68 in Oslo, Norway. A new TPM who will be permanently responsible for TC 68 will be confirmed by the end of 2022.

2. LEADERSHIP OPPORTUNITIES

- ISO TC 68/AG 2 (Standards Advisory Group, SAG) is seeking a public sector Co-Convenor to join the private sector Co-Convenor. The private sector Co-Convenor is Karla McKenna. The public sector Co-Convenor works with the private sector Co-Convenor and SAG Secretary to: (1) plan the agendas for the SAG's monthly calls, (2) lead the SAG's monthly calls, (3) manage the drafting of responses to consultations to which the SAG will respond, (4) represent TC 68 at calls or in-person meetings, as requested by the public sector and other significant stakeholder groups, and (5) engage TC 68 subject matter experts and advisory group and working group convenors to obtain their expertise in the drafting of responses and their attendance at the above-mentioned calls or in-person meetings. If you are interested in serving as the public sector Co-Convenor, please contact Janet Busch (Committee Manager, ISO TC 68) at janet.busch@x9.org.

3. CALL FOR PARTICIPANTS

- None.

4. FEATURED TOPIC – “Thank You and Best Wishes to Stefan Marinkovic”

For many years, Stefan Marinkovic served as Technical Programme Manager (TPM) of ISO TC 68. However, in October of this year, he assumed an exciting new position in ISO Central Secretariat that is focused on climate change. TC 68, including its members and current and

former Chairs, thank Stefan for his excellent service as TPM. His guidance, patience, and willingness to assist as TPM will be missed.

“TC 68 and I were fortunate to have Stefan as TPM for several years during challenging and changing times. During the development of the ISO 17442 LEI standard in response to the 2008 global financial crisis, the ISO Working Group set an aggressive timeline to deliver the standard. ISO Central Secretariat was there with TC 68 to engage with the financial regulators, many of which had not had experience with ISO governance or international standardization. Stefan also provided guidance in the planning and communication of the proposed restructuring of TC 68 and his direct role in working with the Committee Managers resulted in the smooth transition from the former SCs to the newly formed SCs during the 2017 plenary meetings.” (Karla McKenna, former Chair of ISO TC 68)

“I would like to thank Stefan for his dedication, service, and support over the past many years. I believe I can speak for TC 68 in wishing Stefan all the best in his new endeavor for ISO and we look forward to serving global society in doing our part from a financial services perspective to address climate change.” (Jim Northey, current Chair of ISO TC 68)

The London Declaration, signed in September 2021, defines ISO’s commitment in supporting the climate agenda. A climate action plan has been produced and Stefan will drive and implement the technical side of it. This will include: (1) analyzing the portfolio of ISO standards, (2) identifying standards that can have an impact on climate change, (3) engaging with technical committees concerned to help them prioritize standardization works (revision and new initiatives) so that ISO standards include climate consideration, and (4) delivering their full potential in the effort to fight climate change. Stephan will continue to interact with technical committees, but in a different capacity than when he served as TPM. He will be reporting to the ISO Technical Management Board and coordinate with its Sustainability Unit which pilots the broader Sustainability Program that encompasses diversity and inclusion-related projects and climate-related projects.

Best wishes to Stefan in his new position!

5. RECENT STANDARDS ACTIVITY

[ISO TC 68/SC 2 Financial Services, Security](#)

Sent for publication:

- None.

Open ballots:

- ISO/NP 18960 – Security controls and implementation guidance for third-party payment service providers – **deadline 2 November 2022** (WG 16).
 - *Why is this new standard needed?*

Fintech-centered innovation is actively underway around the world right now. Third-party payment service providers (TPPSPs) are proliferating, and the security of these institutions is becoming an important issue in the financial field. Through TPP service, various financial services are provided across borders between countries, and so as most of the information used by TPPSPs includes the customer's personally identifiable information (PII), the issue of the security of the TPPSP becomes even more important (particularly for small and medium-sized TPPSPs, where the risk may increase). Furthermore, in accordance with these environmental requirements, TPPSPs establish and operate security controls on their own.

○ *What does the new standard propose to do?*

This new standard intends to help enhance the security of TPP service by providing the security controls and implementation guidelines necessary for TPPSPs based on the global financial security experience, and has been designed to provide a comprehensive set of internationally recognized best practices for TPPSPs in order to ensure that any necessary controls have not been omitted. This document can be used as a guideline to establish, operate, maintain and improve the security of TPPSPs, can be used as a reference standard when TPPSPs evaluate their security posture, and can help them to verify reliability when connecting TPP services between countries.

○ *How?*

This document provides security controls and implementation guides for TPPSPs. These security controls consist of:

- Security governance control group.
- Cross-sectional control group.
- Section-specific control group.
- Audit and assurance control group.

Although the guidelines for security framework of information system of TPP services are already covered in ISO/AWI TS 9546, this proposal deals with all the matters necessary for deployment and operation of the system which is made in compliance with the ISO/AWI TS 9546. Therefore, this document deals with the overall security controls of TPPSPs, from developing and testing to installing, operating and auditing the system.

- ISO/FDIS 13491-2 — Financial services — Secure cryptographic devices (retail) — Part 2: Security compliance checklists for devices used in financial transactions – **deadline 18 November 2022** (WG 13).
- ISO/FDIS 5158 — Mobile financial services — Customer identification guidelines – **deadline 22 November 2022** (WG 18).
- ISO/FDIS 11568 — Financial services — Key management (retail) – **deadline 24 November 2022** (WG 13).

- ISO/DIS 5201 — Financial services — Code-scanning payment security – in preparation for DIS ballot (WG 19) – **deadline 5 January 2023**.
- ISO 20038:2017 — Banking and related financial services — Key wrap using AES (Systematic Review) – **deadline 4 March 2023**.

Due to go out for ballot:

- ISO/TR DIS 24374 — Information technology — Security techniques — DLT and Blockchain for Financial Services (WG 8).
- ISO 19092 — Financial services — Biometrics — Security framework – still in preparation for FDIS ballot (WG 13).

Recently closed ballots:

- ISO 9564-1 — Financial services — Personal Identification Number (PIN) management and security — Part 1: Basic principles and requirements for PINs in card-based systems – 9 months’ extension request – deadline 5 October 2022 (WG 13) – approved 100% - ISO deadlines to be updated in the ISO Projects Portal.
- ISO 13491-1 — Financial services — Secure cryptographic devices (retail) — Part 1: Concepts, requirements and evaluation methods – 9 months’ extension request – deadline 5 October 2022 (WG 13) – approved 100% - ISO deadlines have been updated in the ISO Projects Portal.
- ISO 20038 Amd 1 — Banking and related financial services — Key wrap using AES — Amendment 1 – CD Consultation – only one comment received, now being considered by the WG11 members.

Other noteworthy activity:

- Developing as normal: ISO/TS 9546 Guidelines for security framework of information systems of TPP services – at the WD consultation stage – publication due 21 February 2025.
- The deadline for registering for the November Plenary meeting in Oslo, Norway is 27 October 2022. Register at <https://sd.iso.org/meetings/108905>. The meeting reports for the SC 2 meeting were due by 12 September 2022, but are still be accepted. The draft agenda (N 2725) and template for the reports have been circulated (Word version - N 2690), as has the report of the last SC 2 meeting – N2724.
- An ISO/TC 68 and ISO/TC 68 SC 2 consultation has been circulated on the allocation and scope of ISO/PWI 13133 Information technology — Security techniques — Security Reference Model for Digital Currency Hardware Wallet. This consultation, which ends 27 October 2022, seeks to gather views on the proposal that, ISO/IEC JTC 1/SC 27 scope allowing, ISO/PWI 13133 continues to be developed within ISO/IEC JTC 1/SC 27 Information security, cybersecurity and privacy protection’s WG 4 Security controls and services, with support from liaisons representatives from relevant committees, such as ISO/TC 68 Financial services, and in particular, ISO/TC 68/SC 2 Financial services, security. Therefore, the interests of all stakeholders (in financial services and information security) would be met. The participants have been asked:

- Whether they are comfortable with ISO/PWI's proposed scope in its current form, or whether there any parts of the proposed scope in particular that they wish to be removed/added?
- Whether they are willing to nominate individuals as liaison representatives who will be tasked to represent TC 68 or TC 68/SC 2's views?

ISO TC 68/SC 8 Reference Data for Financial Services

Sent for publication:

- ISO/PRF TR 6083: Best practices for an internal BPoS handbook.

Open ballots:

- Systematic Review of ISO 20275:2017 — Financial services — Entity legal forms (ELF) – **end date 2 December 2022.**
- NWIP for ISO 17442-3 — Financial services — Legal entity identifier (LEI) — Part 3: Part 3: verifiable LEIs (vLEIs) – **end date 9 December 2022.**
- CIB to collect input for the upcoming systematic review of ISO 91099 – **end date 20 December 2022.**
- CIB to collect input for the upcoming systematic review of ISO 10383 – **end date 20 December 2022.**

Due to go out for ballot:

- None.

Recently closed ballots:

- Ballot closed on October 3: Ryan Pierce was confirmed as the new convenor of WG 3 – Digital Token Identifier.

Other noteworthy activity:

- SC 8/WG 10 – AWI 18774 - Revision of the FISN — WG 10 is working towards a Committee Draft (CD).
- SC 8/WG 7 – AWI 24366-2 — Financial services — Natural person identifier (NPI) — Part 2: Natural person identifier lifecycle operation and management). WG 7 is working towards a Working Draft. Group continues to seek alignment and expertise in the areas of identity management and cyber security.
- ISO 5009 – Financial services — Official organizational roles (OOR) — Scheme for official organizational roles – GLEIF Maintenance Agency set-up finalized. Kick-off meeting is set up for beginning November.
- The updated draft agenda and the reports for the November Plenary meeting were sent out on 26 September 2022. Material can be found by clicking

[ISO TC 68/SC 9 Information Exchange for Financial Services](#)

Sent for publication:

- ISO/DTR 7340 Reference data distribution in financial services.

Open ballots:

- CIB informative ballot of a fast-track procedure on the FIX Simple Binary Encoding (SBE). Ballot opened on 28 September 2022 and will **close on 26 October 2022**.
- CIB informative ballot of a fast-track from EPC on QR-codes for mobile (instant) credit transfer. Ballot opened on 5 October 2022 and will **close on 1 November 2022**.
- SR ISO 15022-2:1999 (vers 5).
- SR ISO 15022-1:1999 (vers 5).

Due to go out for ballot:

- None.

Recently closed ballots:

- None.

Other noteworthy activity:

- The updated draft agenda and the reports for the November Plenary meeting were sent out on 10 October 2022. Material can be found by clicking [here](#).

[ISO 2022 Registration Management Group \(RMG\)](#)

- No updates.

6. FINTECH TAG SPEAKER SERIES

Upcoming webinars:

- No upcoming webinars are currently scheduled.

Past webinars:

- **28 July 2022** **Topic:** Digital Token Identifier (DTI)
Title: “A Look at the New ISO DTI Standard”
Speakers: Alexandre Kech, Director, Blockchain & Digital Assets at Citi Ventures Studio; and Denis Dounaev, Digital Token Identifier Product Owner, Disruptive Technologies Innovation Fund (DTIF)
Recording: <https://vimeo.com/734434546>

- **30 June 2022** **Topic:** Verifiable LEI (vLEI)
Title: “Update on the vLEI”
Speaker: Karla McKenna, Head of Standards, Global Legal Entity Identifier Foundation (GLEIF) and Managing Director, GLEIF Americas
Recording: <https://vimeo.com/726121832>

- **28 April 2022** **Topic:** API security
Title: “Why APIs are the New Frontier of Cybersecurity Risk”
Speaker: Dan Barahona, Head of Marketing & Business Development, APIsec
Recording: <https://vimeo.com/704657572>

7. UPCOMING EVENTS

- **7-11 November 2022** – ISO/TC 68 Plenary will be held in-person in Oslo, Norway, as follows:
 - 7 November 2022 – Plenary, ISO TC 68/SC 8, Reference Data for Financial Service
 - 8 November 2022 – ISO 20022 Registration Management Group (RMG)
 - 9 November 2022 – Plenary, ISO TC 68/SC 9, Information Exchange for Financial Services
 - 10 November 2022 – Plenary, ISO TC 68/SC 2, Financial Services, Security
 - 11 November 2022 – Plenary, ISO TC 68, Financial Services

A virtual option will be available to persons who are not able to participate in person. For those able to attend in person, Standards Norway (SN) and SN/K 250 (the Norwegian mirror committee to ISO TC 68 and ISO 20022 RMG) have put together a helpful [brochure](#) with information about visas, meeting location, transportation, hotel suggestions, and other useful local information. On the final day of the Plenary meeting (that is, 11 November), TC 68 will honor the many long-time participants who recently retired over the past two years.

Important Deadlines

The deadline for submitting the [reports](#) that will be presented at the Plenary meeting was 12 September 2022; however, in some cases, reports are still being accepted. Also, the deadlines for registering to attend the Plenary meeting are:

- 24 October 2022 – Plenary, ISO TC 68/SC 8
- 25 October 2022 – ISO 20022 RMG
- 26 October 2022 – Plenary, ISO TC 68/SC 9
- 27 October 2022 – Plenary, ISO TC 68/SC 2
- 28 October 2022 – Plenary, ISO TC 68

To register, go to “Meetings” via the [ISO Portal](#). ISO 20022 RMG is a partner organization to TC 68 and TC 68/SC 9, but its 8 November meeting is not shown in the ISO Meetings Platform.

Social event for Plenary meeting participants: All meeting participants with accompanying persons are invited to a complimentary dinner on **Wednesday, 9 November at 18:00-22:00**.

The deadline registering for this dinner is **21 October**. Please register under "social event" in ISO Meetings, <https://sd.iso.org/meetings/108894/events/1979>, or send an e-mail to Kari S. Borgos at ksb@standard.no. Any accompanying persons will also need an access card, so please include their full name when registering.

8. INFORMATION POSTED TO TC68'S WEBSITE IN OCTOBER 2022

- [Enabling Secure Digital Identity for Organizations](#) (by Karla McKenna, GLEIF)

9. REQUEST FOR ARTICLES & NEWS ITEMS

If you have content you think would be useful to post to TC68's [website](#) or [LinkedIn](#), please send this material to the Communications Group at tc68com@fintechstandards.net or the Group's Convenor, Paul D'Amico, at paul.d'amico@ofr.treasury.gov. Also, if you would like to join the Communications Group, please contact us. **We need your help and participation!**

Thank you.

The ISO TC 68 Communications Group (ISO TC 68/SG 4)